



# 大聖證券有限公司

Monmonkey Group Securities Limited

請攜同全部所需檔的“正本”或“經認證的副本”和“公司印章”親臨本公司開戶  
Please bring along the originals or certified true copies and company seal to FUTU in person to apply for account opening.

## 所需資料 Required Documents

香港註冊公司 Company Incorporated in Hong Kong  
Original or Certified True Copy\*\* of the following  
以下檔需提供正本或核證本\*\*:

1. Hong Kong ID Cards/Passport of all Directors, Shareholders and Authorized Persons  
董事、股東及獲授權人士的有效身份證或護照影印本
2. Address Proof of all Directors, Shareholders and Authorized Persons - issued within three months  
董事、股東及獲授權人士的位址證明影印本一發出日期須於三個月之內
3. Certificate of Incorporation  
公司註冊證
4. Business Registration Certificate  
商業登記證
5. Memorandum and Articles of Association (Up-dated as appropriate)  
公司組織及章程 (最新版本)
6. Latest Annual Return (Form NAR1) OR (if newly incorporated company) Incorporation Form (Form NNC1) filed with the Companies Registry of HKSAR  
存檔於香港特別行政區公司註冊處的最新的公司年報或 (如新成立公司) 法團成立表格
7. Registers of Directors  
董事名冊
8. Registers of Members  
股東名冊
9. Company Structure Chart (Up-dated as appropriate) with the confirmation of the Company's Director  
經公司董事簽署確認的公司結構圖 (最新版本)
10. Latest Financial Statements (if any)  
經認證之最近之財務報告 (如有)

Please prepare and submit the following documents:

另需填寫及提交以下的文件:

11. Certified True Copy of Extract of Board Resolution  
經認證之決議與本公司開設戶口及授權人名單 (連簽名樣本) 的董事會會議記錄 (需依據董事會會議記錄樣本)
12. CRS Form - Entity (and CRS Form - Controlling Persons, if applicable)  
自我證明表格一實體 (及自我證明表格一控權人, 如適用)

## 註Remarks:

\*若中英文版內容有差異, 請以中文版為準。

If there is any inconsistency or ambiguity between the Chinese and the English version, the Chinese version shall prevail.

### 13. W8-BEN-E Form OR W8-IMY Form

W8-BEN-E表格或W8-IMY表格

\*\* Attn: Futu only accept the document copies certified by certified public accountant, lawyer, notary, Justice of the Peace, SFC licensed person or registered person.

\*\*注：本公司只接受經執業會計師~律師、公證人、太平紳士~證監會持牌人或註冊人所簽發的核證本。

海外公司

#### 1. Hong Kong ID Cards/Passport of all Directors, Shareholders and Authorized Persons

董事、股東及獲授權人士的有效身份證或護照影印本

#### 2. Address Proof of all Directors, Shareholders and Authorized Persons - issued within three months

董事、股東及獲授權人士的位址證明影印本一發出日期須於三個月之內

#### 3. Certificate of Incorporation

公司註冊證

#### 4. Business Registration Certificate

商業登記證

#### 5. Memorandum and Articles of Association (Up-dated as appropriate)

公司組織及章程（最新版本）

#### 6. Registers of Directors

董事名冊

#### 7. Registers of Members

股東名冊

#### 8. A Certificate of Incumbency / Registered Agents Certificate – issue within six months

董事及高級人員在職證明書 / 註冊代理證書- 發出日期須於六個月之內

#### 9. Certificate of Good Standing or document(s) to the same extent

公司存續證明書 或 作用相同文件

#### 10. Company Structure Chart (Up-dated as appropriate) with the confirmation of the Company's

Director經公司董事簽署確認的公司結構圖（最新版本）

#### 11. Latest Financial Statements (if any)

經認證之最近之財務報告（如有）

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